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PATENT

Docket No. 311772000600

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Assistant Commissioner for Patents, Washington, D.C. 20231, on August 26, 1999.

99 NOV -3 PM 3:27

*Kathy Johnston*  
Kathy Johnston

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

ALLEN et al.

Serial No.: 09/289,576

Filing Date: April 9, 1999

For: METHODS OF TREATING  
SCHIZOPHRENIA



Examiner: Unknown

Group Art Unit: 1614

**TRANSMITTAL**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

- Petition For A Corrected Filing Date Under 37 C.F.R. 1.10 (d)
- Corrected Filing Receipt bearing the filing date of April 10, 1999
- Copy of Transmittal with Certificate of Mailing by Express Mail bearing the date of April 9, 1999
- Copy of Express Mail Certificate No. EM056845428US bearing date of April 10, 1999
- Copy of Return Receipt Postcard bearing the mail date of April 9, 1999
- Copy of Ledger Sheet dated April 9, 1999

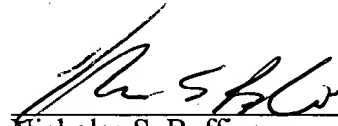
- Copy of USPS Pickup Service Statement bearing the pickup date of April 9, 1999
- Return Receipt Postcard

The Assistant Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this transmittal, or to credit any overpayment, to **Deposit Account No. 03-1952.**

Respectfully submitted,

Dated: August 26, 1999

By:



Nicholas S. Buffinger  
Registration No. 39,124

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
Telephone: (650) 813-5816  
Facsimile: (650) 494-0792



Attorney Dkt: 398802000600  
Applications: Richard C. Allen and Michael Cornfeldt  
Serial No. Applied for  
Filing Date: April 9, 1999  
Title: METHODS OF TREATING SCHIZOPHRENIA

Date: 4/9/99  
NSB/ksj

EXPRESS MAIL EM056845428US

Papers: 1. Transmittal Letter (2 pages)  
2. Specification (32 pages)  
3. This Return Receipt Postcard

EM056845428US

DOCKETED *YMS*

Attorney Dkt: 398802000600  
Applications: Richard C. Allen and Michael Cornfeldt  
Serial No. Applied for  
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Title: METHODS OF TREATING SCHIZOPHRENIA

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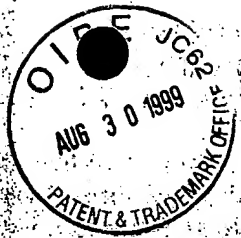
APR 03 1999

MORRISON &amp; LOEBSTER LLP

143

EC PA 4/1/99	29900 - 20484.00 09/289,575	368	Specs. (13), Claims (9), Abstract (1), Drawings (12); ck. fee \$ 986.00; Conf. Copy of Priority Docs.; Trans. under Rule 1.53 (b); Assign. Signed Decl.; Exp. mail # ELOS3908693US
JB TR 4/1/99	<del>39880 - 20006.00</del> 09/289,576	337	Trans. Hr. (2), Specs. (32); Exp. mail # ELOS6845428US
SB TR 4/1/99	22500 - 20304.00 09/289,578	337	Trans. Hr. (2), Specs. (42), Drawings (14); Exp. mail # ELOS6845414US
KL PA 4/1/99	31141 - 20011.00		Amend. Trans. (2); Amend. under CFR 1.116 (4); Copy of Submn. of formal Drawings w/ 2 sheets of Drawings (4)
KL PA 4/1/99	31141 - 20011.00	401	Submn. of formal Drawings (2); (2) sheets of formal Drawings.
KL PA 4/1/99	25169 - 20047.00		IDS (3), Form PTO 1449 (1); Ref. (13)
KL PA 4/1/99	15979 - 20001.00		Amend. Trans. (2); Amend. & Resp. to OA (2); Pending Claims (5); Copy of Not. To Comply w/ Req. for Pub. Appln Containing Seq. Disclosures (1); Seq. List (3); Disk (1)
JD PA 4/1/99	37647 - 20002.00	345	Trans. (2); Second Req. for Corrected F.R. (2); Copy of marked up F.R. (1); Copy of stamped P.C. (1)
KL PA 4/1/99	29085 - 20045.02		IDS (3), PTO 1449 (2)
KL PA 4/1/99	35353 - 20009.00		Trans. Suppl. IDS (3); PTO-1449 (1); Ref. (20)
KL TRN	37361 - 20013.40		Faxed Amendment; Resp to Int'l Search Report. (repl. pages 26 & 29.)
KL 4/1/99	31608 - 20001.21 PC	405	Trans. Dec. Pros. by Assistance of POA;

FILING RECEIPT  
CORRECTED



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/289,576	04/10/99	1614	\$730.00	398802000600	0	43	5

04/09/99

MORRISON & FOERSTER  
755 PAGE MILL ROAD  
PALO ALTO CA 94304-1018

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AUG 18 1999

MORRISON & FOERSTER LLP

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) RICHARD C. ALLEN; FLEMINGTON, NJ; MICHAEL CORNFELDT;  
MORRISTOWN, NJ.

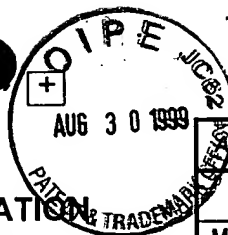
IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/07/99 \*\* SMALL ENTITY \*\*  
TITLE  
METHODS OF TREATING SCHIZOPHRENIA

PRELIMINARY CLASS: 514

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DATA ENTRY BY: GARNETT, SANDRA TEAM: 06 DATE: 08/16/99

Please type a plus sign (+) inside this box.



PTO/SB/05 (12/97) (modified)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

398802000600

Total Pages

34

First Named Inventor or Application Identifier

METHODS OF TREATING SCHIZOPHRENIA

By: Richard C. Allen and Michael Cornfeldt

Express Mail Label No.

EM056845428US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EM056845428US

Date of Deposit: April 9, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Kathy Johnston

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**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 32]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC 113) [Total Sheets ]
4. ☐ Oath or Declaration [Total Pages ]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in  
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the  
oath or declaration is supplied under Box 4b, is considered as being  
part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

**18. CORRESPONDENCE ADDRESS**

Nicholas S. Buffinger.  
Registration No. 39,124

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755 Page Mill Road  
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1614  
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**FILE COPY**

**Application Filing Date**

Date: 9-24-99  
Application Number: 09/289574

Dear Applicant or Attorney of Record:

In response to your request for a corrected Filing Receipt, we are unable to comply with your request because:

- ☐ The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- ☐ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- ☐ No copy of the Express Mail label is enclosed with your request. Please resubmit.
- ☒ The date on the Express Mail label is the date given on the Filing Receipt. *There is no evidence from the USPS that an error has occurred.*
- ☐ The filing date accorded to the application is the date the application met the filing date requirement of 37 CFR 1.53(b) or (c).
- ☐ The continuity filing date for an application cannot be changed with this request. You must request a corrected Filing Receipt for that particular application.
- ☐ Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the Patent and Trademark Office.
- ☐ The date on your return postcard is the same as your Filing Receipt.
- ☐ A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

FILING DATE